



## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the following date:  
Date: February 22, 2005 Name: Marc V. Richards (37,921) Signature: *Marc V. Richards*

BRINKS  
HOFFER  
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& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Elizabeth DeSchryver et al.

Appln. No.: 10/634,250

Examiner: N/A

Filed: August 5, 2003

Art Unit: 2171

For: Methodology Framework and Delivery Vehicle

Attorney Docket No: 10022/350

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

- ☒ Third Supplemental Information Disclosure Statement; Form PTO-1449; 11 cited references  
☒ Return Receipt Postcard

## Fee calculation:

- ☒ No additional fee is required.  
☐ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

## Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Date

2-22-05

Respectfully submitted,

*Marc V. Richards*  
Marc V. Richards (Reg. No. 37,921)



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**THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

**U.S. Patent Documents**

Document No.	Date	Inventor
US 2002/0133516 A1	09/2002	Davis et al.

**Foreign Patent Documents**

Document No.	Date	Inventor
WO 02/088944 A1	11/2002	WIPO

**Other Art**

Bergstrom, Scott, "Reusability Analysis of Reusable Objects" *AICC Management and Processes Subcommittee*, pp. 1-38 (2003)

Breunese, A.P.J., et al., "Libraries of Reusable Models: Theory and Application," *Simulation*, vol 71, no. 1, pp 1-12 (1998)

Delen, Dursun, et al., "An Integrated Toolkit for Enterprise Modeling and Analysis," *Proceedings of the 1999 Winter Simulation Conference*, pp. 289-297 (1999)

Hofmann, Andreas, "Development of a Library for Reusable Workflow Objects," *ACM SIGGROUP Bulletin*, Vol. 18, No. 1, pp. 18-23 (April 1997)

International Search Report dated January 26, 2005, for corresponding international application PCT/EP2004/008716

Manolescu, Dragos, "Workflow Enactment with Continuation and Future Objects," *Proceedings on the 17<sup>th</sup> ACM Sigplan Conference on Object-Oriented Programming, Systems, Languages, and Applications*, pp. 40-51 (2002)

Schmid, Hans Albrecht, et al., "Business Procedures are not Represented Adequately in Business Applications and Frameworks!," *OOPSLA '98 Business Object Workshop IV*, pp. 1-4 (1998)

Schmidt, Marc-Thomas, "Building Workflow Business Objects," *OOPSLA '98 Business Object Workshop IV*, pp. 1-12 (1998)

Vitharana, Padmal, et al., "Knowledge-Based Repository Scheme for Storing and Retrieving Business Components: A Theoretical Design and an Empirical Analysis," *IEEE Transactions On Software Engineering*, Vol 29, No. 7, pp. 649-664 (July 2003)

Written Opinion, dated January 26, 2005, for corresponding international application PCT/EP2004/008716

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

For purposes of 37 C.F.R. §1.704(d), Applicants certify that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this Statement (a copy of any foreign communication first citing a listed reference is attached for the Examiner's reference).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

2-22-05  
Date

Marc V. Richards  
Marc V. Richards (Reg. No. 37,921)



FORM PTO-1449	SERIAL NO. 10/634,250	CASE NO. 10022/350
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE August 5, 2003	GROUP ART UNIT 2171
(use several sheets if necessary)	APPLICANT(S): Elizabeth DeSchryver et al.	

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	US 2002/0133516 A1	09/2002	Dais et al.	

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A2	WO 02/088944 A1	11/2002	WIPO	

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small>				
	A3	Bergstrom, Scott, "Reusability Analysis of Reusable Objects" <i>AICC Management and Processes Subcommittee</i> , pp. 1-38 (2003)			
	A4	Breunese, A.P.J., et al., "Libraries of Reusable Models: Theory and Application," <i>Simulation</i> , vol 71, no. 1, pp 1-12 (1998)			
	A5	Delen, Dursun, et al., "An Integrated Toolkit for Enterprise Modeling and Analysis," <i>Proceedings of the 1999 Winter Simulation Conference</i> , pp. 289-297 (1999)			
	A6	Hofmann, Andreas, "Development of a Library for Reusable Workflow Objects," <i>ACM SIGGROUP Bulletin</i> , Vol. 18, No. 1, pp. 18-23 (April 1997)			
	A7	International Search Report dated January 26, 2005, for corresponding international application PCT/EP2004/008716			
	A8	Manolescu, Dragos, "Workflow Enactment with Continuation and Future Objects," <i>Proceedings on the 17<sup>th</sup> ACM Sigplan Conference on Object-Oriented Programming, Systems, Languages, and Applications</i> , pp. 40-51 (2002)			
	A9	Schmid, Hans Albrecht, et al., "Business Procedures are not Represented Adequately in Business Applications and Frameworks!," <i>OOPSLA '98 Business Object Workshop IV</i> , pp. 1-4 (1998)			
	A10	Schmidt, Marc-Thomas, "Building Workflow Business Objects," <i>OOPSLA '98 Business Object Workshop IV</i> , pp. 1-12 (1998)			
	A11	Vitharana, Padmal, et al., "Knowledge-Based Repository Scheme for Storing and Retrieving Business Components: A Theoretical Design and an Empirical Analysis," <i>IEEE Transactions On Software Engineering</i> , Vol 29, No. 7, pp. 649-664 (July 2003)			
	A12	Written Opinion, dated January 26, 2005, for corresponding international application PCT/EP2004/008716			

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.